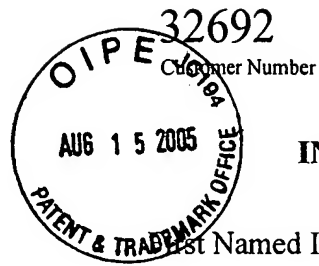


JPW



Patent  
Case No.: 59518US002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: OUDERKIRK, ANDREW J.  
Application No.: 10/762727      Group Art Unit: 2875  
Filed: January 20, 2004      Examiner: Bennett, Zahra I.  
Title: PHOSPHOR BASED LIGHT SOURCES HAVING A REFLECTIVE POLARIZER

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

<p align="center"><b>CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]</b></p> <p>I hereby certify that this correspondence is being:</p> <p><input checked="checked" type="checkbox"/> deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p> <p><input type="checkbox"/> transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.</p> <p><u>August 10, 2005</u>      <u>Andrea Newhouse</u> Date      Signed by: Andrea Newhouse</p>
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Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

The reference entitled "Inquiry Documents" is a collection of documents relating to an inquiry from an outside party who expressed interest in using 3M's multilayer polymeric UV mirror film in combination with an LED and a phosphor. For reference purposes, consecutive page numbers have been added at the bottom of each page. In the case of the emails from Larry R. Gilbert dated 03/19/2001 and 03/20/2001 (pages 4 and 31), printouts of the respective electronic file attachments are provided. On information and belief, each of the sheets of UV mirror film that were sent in connection with the letter on page 29 and the letter on page 54 was a

polymeric multi-layer optical film having alternating coextruded and biaxially stretched layers of PET and co-PMMA and having a single normal-incidence reflection band (measured at half-maximum) that extended from about 320 nm to an upper wavelength limit that ranged from about 430 nm to about 460 nm, depending on the position on the sheet. Each sheet was flexible, and was made by 3M Company in the United States. The letter on page 54 was sent on or about May 2, 2001, with two sheets of the UV mirror film, about 6x6 inches in size.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

9 August 2005  
Date

By: Stephen C. Jensen  
Stephen C. Jensen, Reg. No.: 35,207  
Telephone No.: 651-736-3369

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)  Page 1 of 1	<b>Application Number</b>	10/762727
	<b>Filing Date</b>	January 20, 2004
	<b>First Named Inventor</b>	Ouderkirk, Andrew J.
	<b>Art Unit</b>	2875
	<b>Examiner Name</b>	Bennett, Zahra I.
	<b>Attorney Case Number</b>	59518US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number Doc. Number-(Kind Code if Known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US- 6,652,996	11/25/2003	Steklenski et al.	

Foreign Patent Documents							
Exam. Init.*	Cite No.	Foreign Patent Document Ctry. Code    Number-KindCode (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1	Inquiry Documents, 54 pages.	

<b>*Examiner:</b>	<b>Date Considered:</b>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	